



PATENT  
Customer No. 22,852  
Attorney Docket No. 8386.0003-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Thomas V. RESSEMANN et al. ) Group Art Unit: 3763  
)  
Application No.: 09/940,986 ) Examiner: Unknown  
)  
Filed: August 29, 2001 )  
)  
For: EMBOLI PROTECTION DEVICES )  
AND RELATED METHODS OF USE )

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Commissioner for Patents and Trademarks  
Washington, DC 20231

OCT 25 2001

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Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicant brings to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application.

Copies of the listed documents are attached. The documents authored by Tanaka et al. and Terada et al. are Japanese language documents. An English language Abstract has been provided for each of these documents. The document authored by Soler-Singla et al. is in the Spanish language. If the Examiner would like translations of any of the non-English portions of these documents, the Examiner is invited to call the undersigned at 202-408-4488 and Applicants will have translations prepared and provided to the Examiner.

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Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.


Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: October 22, 2001

By:   
Elizabeth M. Burke  
Reg. No. 38,758

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## INFORMATION DISCLOSURE CITATION

Atty. Docket No.	8386.0003-01	Serial No.	09/940,986
Applicant	Thomas V. RESSEMAN et al.		
Filing Date	August 29, 2001	Group:	3763

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS						
Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Theron, Jacques, G., MD, "Carotid Artery Stenosis: Treatment with Protected Balloon Angioplasty and Stent Placement," Radiology, Vol. 201, No. 3, December 1996, pp. 627-636.
	Hurst, Robert W., MD, "Carotid Angioplasty," Radiology, Vol. 201, No. 3, December 1996, pp. 613-616.
	Tanaka, Masato, et al., "Percutaneous Transluminal Angioplasty (PTA) for Stenosis at the Subclavian Artery and at the Origin of the Vertebral Artery: Therapeutic indication and some adjunctive safe methods during PTA," Neurological Surgery, Vol. 22, No. 10, 1994, pp. 939-946
	Terada, Tomoaki, et al., "Newly Developed Blocking Balloon Catheter for PTA of Internal Carotid Artery," Neurological Surgery, Vol. 21, No. 10, 1993, pp. 891-895.
	Soler-Singla, L., et al., "Angioplastia Carotídea Con Protección Cerebral Y Prótesis Endovascular," Revista De Neurologia, 1997; Vol. 25, No. 138, pp. 287-290.
	Kinoshita, Akira, et al., "Percutaneous Transluminal Angioplasty of Internal Carotid Artery: A Preliminary Report of Seesaw Balloon Technique," Neurological Research, 1993.
	Kinney, Thomas B., et al., "Shear Force in Angioplasty: Its Relation to Catheter Design and Function," American Journal of Roentgenology, January 1985, pp. 115-122.
	McCleary, A.J., et al., "Cerebral Haemodynamics and Embolization During Carotid Angioplasty in High-Risk Patients, The British Journal of Surgery, Vol. 85, No. 6, June 1988, pp. 771-774.
	Theron, J. et al., "New Triple Coaxial Catheter System for Carotid Angioplasty with Cerebral Protection," American Journal of Neuroradiology, September 1990, pp. 869-874.
	Therón, Jacques, "Angioplasty of Brachiocephalic Vessels," Interventional Neuroradiology Endovascular Therapy of the Central Nervous System, Chapter 13, 1992, pp. 167-180.

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Examiner	Date Considered		
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			
Form PTO 1449		Patent and Trademark Office - U.S. Department of Commerce	



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